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TAVR and SAVR: Current Treatment of Aortic Stenosis

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Abstract: Transcatheter aortic valve replacement (TAVR) was approved in the United States in late 2011, providing a critically needed alternative therapy for patients with severe aortic stenosis previously refused surgical aortic valve replacement (SAVR). Over 20,000 TAVR have been performed in patients worldwide since 2002 when Alain Cribier performed the first-in-man TAVR. This paper reviews the data from balloon expandable and self-expanding aortic stent valves as well as data comparing them with traditional surgical aortic valve replacement (SAVR). Complications using criteria established by the Valve Academic Research Consortium (VARC) are reviewed. Future challenges and possibilities are discussed and will make optimizing TAVR an important goal in the years to come.

Keywords: transcatheter aortic valve replacement, SAVR, TAVR, transcatheter, aortic stenosis, VARC, stent valve, complications, TAVI, valve academic research consortium, review, PARTNER, SAPIEN, CoreValve, JenaValve, Acurate

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Introduction

Aortic stenosis (AS) is a degenerative valvular disease that worsens over time. The natural history of AS is well studied with worsening prognosis after the onset of angina, syncope, and dyspnea.¹⁻³ In the past, the only effective treatment for AS has been surgical aortic valve replacement (SAVR) with guidelines being well established for when to refer for surgery.⁴ Pathophysiology of aortic valve stenosis is degenerative and calcific, and it may be exacerbated by the same cellular atherosclerotic processes which are involved with lipid accumulation and inflammation. Other diseases, such as end stage renal failure, can also accelerate the disease process. Patients often have a history of coronary artery disease, carotid artery disease, and peripheral vascular disease. Patients may require concomitant SAVR and coronary artery bypass grafting (CABG). In addition, surgeries may require several hours of cardioplegia. If the patient has comorbidities, such as renal failure, their peri- and post-operative mortality percentage increases. The United States Surgical Database, provided by the Society of Thoracic Surgery (STS), provides an approximate calculator for predicting mortality by factoring in variables such as risk factors, type of surgery, and comorbidities. This allows surgeons to better risk stratify patients.⁵ The European equivalent predictor of surgical outcome is the European EuroScore. Both databases take into account the patient's comorbidities and assign a numerical value to them. The algorithm then generates an overall mortality score for the procedure. Surgeons may refuse to operate based on this operative mortality percentage.

In this setting, the development and Food and Drug Administration (FDA) approval of a percutaneous option was completed in 1992; Anderson described the first transcatheter aortic stent valve implanted in a pig.⁸ In 2000, Bonhoeffer implanted a transcatheter pulmonic valve in a human patient. In 2002, the first transcatheter aortic stent valve was implanted in humans by Dr Cribier.⁹ The approach used at the time was a transeptal one, where stents were implanted from femoral venous access given the bulkiness of the first device. Although this procedure was difficult, it was successful. Unfortunately the patient's leg became ischemic post procedure, required an above

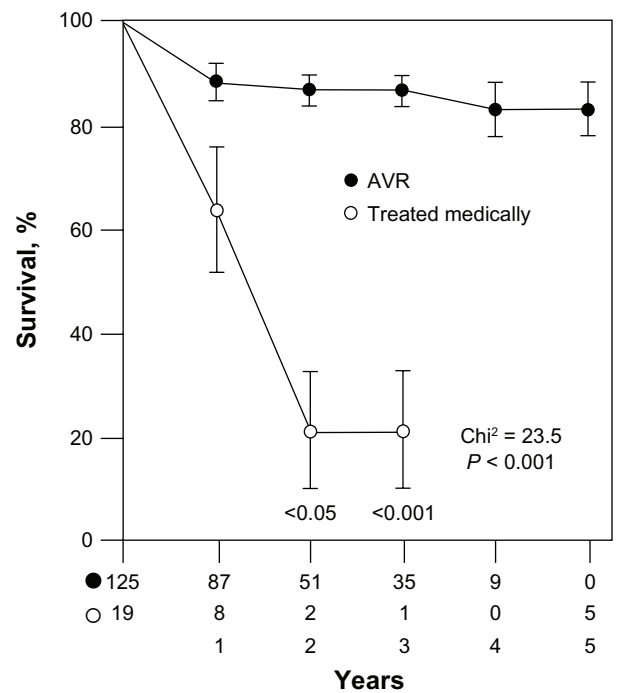


Figure 1. Natural history of AS increases dramatically after onset of symptoms; without surgical intervention, mortality increases dramatically. Reprinted with permission.²

Notes: From the patient perspective, in the past patients had no options if the cardiothoracic surgeons refused to operate. Some would be given comfort care while others would have an aortic valvuloplasty (first described by Dr Alain Cribier in 1986) to temporize the AS.⁶ Data suggests that this has no significant effect on long-term survival.⁷

the knee amputation, and died four months later.⁷ In 2005, with newer materials, the transfemoral position (common femoral artery) was developed in both Canada and Germany.⁸ Using this approach, placement of the valve became easier.

Stent Valves

Balloon expandable

Edwards SAPIEN

The first Cribier Edwards valve used equine pericardium to fashion the leaflets, and these were attached to a stainless steel stent. However, the equine material was soon replaced by bovine pericardium, and this formed the basis for the Edwards SAPIEN transcatheter aortic valve.⁸ The Edwards Life Sciences SAPIEN valve contains a bovine aortic trileaflet valve attached to a metallic scaffold. The stent is balloon expandable and is manually crimped onto the balloon immediately prior to deployment. For the 23- and 26-mm valve sizes, 22F and 24F sheaths are required.

Edwards SAPIEN XT

The Edwards SAPIEN XT is the next generation balloon expandable Edwards stent valve. Its sheath has since been downsized to 18F and 19F for the 23 mm and 26 mm stent valves, respectively. On computerized tomography (CT) scan, a minimum diameter of 6 and 6.5 mm was required at the level of the femoral artery for successful insertion of the 23 mm and 26 mm SAPIEN XT valves compared to 7 mm and 8 mm for the 23 mm and 26 mm SAPIEN valves, respectively. Recent analysis of 190 patients receiving either valve (71 SAPIEN vs. 112 SAPIEN XT) in the transfemoral approach, showed that the 30 day combined safety endpoint was similar (15.2% SAPIEN XT vs. 17.9% SAPIEN). Valve performance was also comparable at 30 days. In addition, transfemoral success was 91.1% using the SAPIEN XT vs. 61.4% using the SAPIEN.¹¹

Self-expanding Medtronic CoreValve

CoreValve Inc. received the CE Mark in 2007 and was acquired by Medtronic in 2009.¹² The Revalving stent valve itself has undergone several iterations: initially it began as a 24 Fr system, and it is currently an 18 Fr system. It contains porcine pericardium fashioned leaflets attached to a self-expanding nitinol cage. The stent is placed in the left ventricular outflow tract (LVOT) and extends into the aorta. Three distinct areas exist within the stent that have different radial and hoop strengths. The valve itself is self-centering and is partially retrievable.¹⁰ It rests in the supra-aortic position away from the coronary ostia.

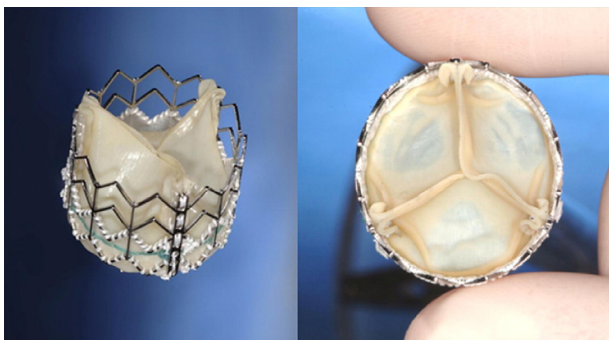


Figure 2. Edwards SAPIEN Valve. Reprinted with permission.¹⁰

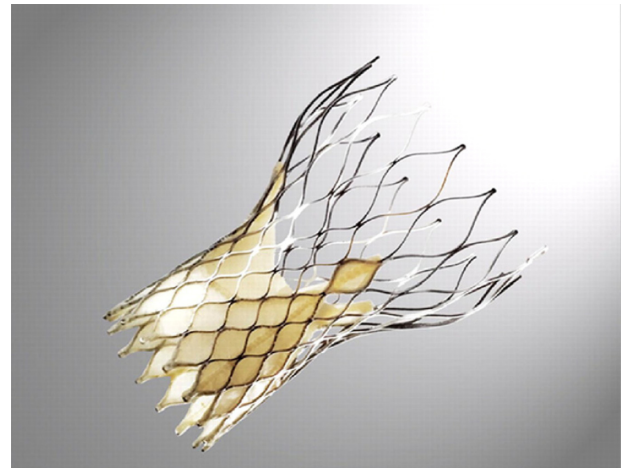


Figure 3. Medtronic CoreValve. Reprinted with permission.¹⁰

Human Trials

Stent valve versus medical therapy/ balloon valvuloplasty

The PARTNER (Placement of AoRTic TraNscath-eter) trial was the first randomized trial to evaluate the stent valve in humans across the United States.¹³ Prior data from tens of thousands of patients in Europe with the Edwards SAPIEN and Medtronic CoreValve showed that this modality might be an effective way to treat critical aortic stenosis.¹⁴ In the PARTNER B trial, 358 patients who were not considered suitable for surgery were randomized to either standard therapy or TAVR. Most strikingly, 1-year all-cause mortality was 50.7% for standard therapy vs. 30.7% for TAVR (95% confidence interval, 0.4 to 0.74, $P < 0.001$) (Fig. 4). However, complications including strokes were higher for TAVR (5.0% vs. 1.1%, $P = 0.06$).

Recent two-year outcomes were analyzed.¹⁵ Deaths at two years were 43.3% in the TAVR group and 68.0% in standard therapy group ($P < 0.001$), with cardiac related death associated with 31.0% in the TAVR group and 62.4% in the standard therapy group ($P < 0.0019$). The incidence of strokes was still higher (13.8% TAVR vs. 5.5% standard therapy, $P = 0.01$). Data further suggested that the mortality benefit after TAVR may be mainly limited to patients with fewer comorbidities.

TAVR versus SAVR

The PARTNER investigators also compared TAVR with SAVR (PARTNER A) among high-risk patients

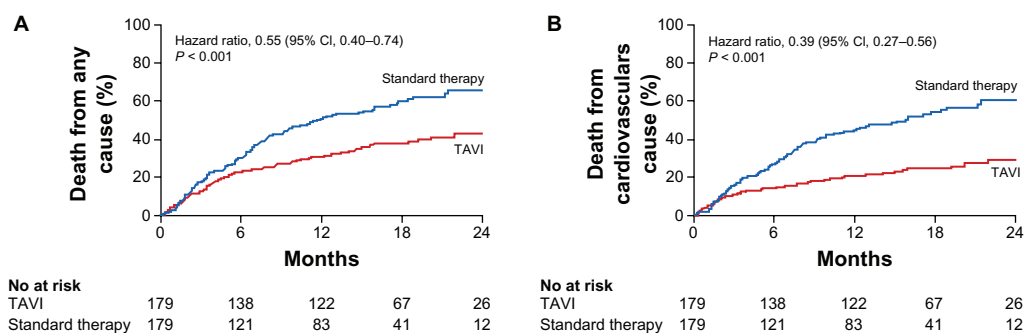


Figure 4. PARTNER trial data showing superior outcomes from TAVI vs. standard therapy for death at 1 and 2 years for: (A) death from any cause, and (B) death from a cardiovascular cause.

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with STS 11.8%.¹⁶ Prior to TAVR, SAVR had been the only long-term effective therapeutic option for patients. If the patient did not qualify for SAVR due to high peri- and post-operative risk, prognosis was poor as shown in Figure 1. In this trial, patients screened for the PARTNER trial that qualified for both SAVR and TAVR were further randomized to either therapy. The goal was to determine if TAVR was more effective than SAVR. Results suggested that the overall 1 year mortality was similar post-procedure (24.2% TAVR vs. 26.8% SAVR, $P = 0.44$). Death rates from cardiovascular causes were equivalent at 1 year (14.3% TAVR vs. 13% SAVR, $P = 0.63$). However, major and minor stroke were more frequent in the TAVR arm (8.3% TAVR vs. 4.3% SAVR, $P < 0.05$). Vascular complications were also more frequent in the TAVR arm (18% TAVR vs. 4.8% SAVR, $P < 0.001$).

2 years was consistent with data collected after 1 year.¹⁷ Overall mortality rates were similar (33.9% TAVR vs. 35.0% SAVR, $P = 0.78$), as were the mortality rates associated with cardiovascular factors (21.4% TAVR vs. 20.5% SAVR, $P = 0.8$). The frequency of all neurologic events (major strokes and transient ischemic attacks) was higher at 2 years (11.2% TAVR vs. 6.5% SAVR, $P = 0.05$). Paravalvular leak was more common in the TAVR group (1 year: 7.0% TAVR vs. 1.9% SAVR, $P < 0.001$; 2 years: 6.9% TAVR vs. 0.9% SAVR, $P < 0.001$), and was associated with increased late mortality (hazard ratio, 2.11; 95% CI, 1.43 to 3.1; $P < 0.001$).

In a single center prospective Swiss registry,¹⁸ 442 patients with severe aortic stenosis were assigned to medical treatment (MT, $n = 78$), TAVR ($n = 257$), or SAVR ($n = 107$). Mortality from all causes was higher in the MT arm (61.5% MT vs. 22.6%

TAVR vs. 22.4% SAVR, $P < 0.001$). Patient operative mortality risk was calculated using both EuroScore and STS (6.5 ± 4.1 MT vs. 6.4 ± 5.0 TAVR vs. 4.8 ± 5.3 SAVR, $P = 0.009$). The incidence of major stroke observed in this study was similar between both TAVR and SAVR (2.6% MT vs. 4.3% TAVR vs. 3.7% SAVR, $P = 0.91$). However, when compared to the PARTNER trial, these patients had overall lower STS scores (6.0 ± 5.0).

FDA approval

Given the results of the PARTNER trial, the FDA approved the Edward SAPIEN stent valve for use in the United States in late 2011 with The Centers for Medicare and Medicaid Services (CMS) proposing a payment plan in February of 2012 largely based on guidelines from the PARTNER trial. The final memo written in May of 2012¹⁹ is shown in Table 1.

TAVR Complications

Complications from these large caliber devices include stroke, myocardial infarction, bleeding, vascular injury such as perforation, dissection, trauma and arterial intussusception, device embolization, reverse placement of the stent valve, and geographic misplacement of the stent valve leading to the possible blocking of coronary ostia. Most of these complications can potentially be life threatening. Long-term complications include stroke, bleeding, paravalvular regurgitation, and endocarditis although there have been case reports of a broad spectrum of rare complications that can occur. Given the total number of TAVR performed worldwide, it was necessary to develop common criteria to describe complications related to the stent valve procedures.

**Table 1.** CMS guidelines for heart team and hospital requirements for TAVR.

No TAVR experience	Prior TAVR experience
<p>Hospital qualifications ≥ 50 total AVRs in the previous year prior to TAVR, including ≥ 10 high-risk patients ≥ 2 physicians with cardiac surgery privileges, and; ≥ 1000 catheterizations per year, with ≥ 400 percutaneous coronary interventions (PCIs) per year.</p> <p>Heart team Cardiovascular surgeon ≥ 100 career AVRs including 10 high-risk patients; or ≥ 25 AVRs in one year; or ≥ 50 AVRs in 2 years; and which include at least 20 AVRs in the last year prior to TAVR initiation.</p> Interventional cardiologist Professional experience with 100 structural heart disease procedures lifetime; or 30 left-sided structural procedures per year of which 60% should be balloon aortic valvuloplasty (BAV). Atrial septal defect and patent foramen ovale closure are not considered left-sided procedures.	<p>≥ 20 AVRs per year or ≥ 40 AVRs every 2 years; and</p> <p>≥ 2 physicians with cardiac surgery privileges; and ≥ 1000 catheterizations per year, including ≥ 400 percutaneous coronary interventions (PCIs) per year.</p> <p>Cardiovascular surgeon and interventional cardiologist combined ≥ 20 TAVR procedures in the prior year; or ≥ 40 TAVR procedures in the prior 2 years.</p>

Table adapted.¹⁹

As with the Academic Research Consortium (ARC) criteria developed for stent thrombosis, a Valve Academic Research Consortium (VARC) was also created to help create a common language by which to quantify complications in a standardized and objective fashion. In the spirit of ARC, physicians from cardiology and cardiovascular surgical societies, industry representatives, and US FDA representatives met in San Francisco, California, USA, as well as in Amsterdam, the Netherlands, in 2009 to discuss TAVR and create VARC criteria.²⁰

VARC criteria

VARC criteria separates stent valve placement into three important composite endpoints: (1) device success; (2) combined safety endpoint (30 days); and (3) combined efficacy endpoint (1 year) (Table 2). Device success entails: successful vascular access; delivery and deployment of the device; successful retrieval of the delivery system; correct positioning of the device; and the device performing to specification with only one stent valve implanted. Combined safety endpoints include: all-cause mortality, major stroke, life-threatening bleeding, acute kidney injury, peri-procedural myocardial infarction (MI), major vascular complications, and repeat valvular procedures. Of note, peri-procedural MI is defined as a

CK-MB greater than 10x the upper limit of normal (in coronary databases, this is usually 3x the upper limit of normal as opposed to surgical databases, which is usually 5–10x the upper limit normal). Combined efficacy endpoint estimates longer outcomes (1 year or longer) including all-cause mortality, failure of current therapy for AS, and prosthetic heart valve dysfunction including worsening AS or AR. Each VARC complication was defined with previously published clinical-trial complication definitions in mind, but now specific to TAVR and SAVR.

VARC meta-analysis

Consortium authors screened a total of 482 articles written about TAVR in 2011 and came up with 16 unique articles that used VARC criteria meta-analysis of 3519 patients.²¹ Stent valves used were both the Medtronic CoreValve and the Edwards SAPIEN. The 30-day STS score associated with TAVR was 8.7% (95% CI: 7.0% to 10.3%). All-cause 30-day mortality was 7.8% (95% CI: 5.5% to 11.1%). The 1-year mortality rate was 22.1% (95% CI: 17.9% to 26.9%) with 1 year cardiovascular mortality at 14.4% (95% CI: 10.6% to 19.5%, $P = 0.0002$). The prevalence of major stroke was 3.2% (95% CI: 2.1% to 4.8%, $P < 0.0001$). Moderate to severe residual aortic regurgitation was 7.4% (95% CI: 4.6% to

**Table 2.** VARC definition of composite endpoints.

Device success	Combined safety (30 d)	Combined efficacy (1+ yr)
Vascular access	All cause mortality	All cause mortality (>30 d)
Delivery and deployment	Major stroke	Hospitalization for AS/CHF
Retrieval	Life-threatening bleeding	Worsening valve performance
Correct positioning	Acute kidney injury stage 3	
Optimal valve performance	Peri-procedure MI	
One stent valve only	Major vascular complication	
	Repeat procedure for valve dysfunction	

Table adapted.²⁰

10.2%). Myocardial infarction was 1.1% (95% CI: 0.2% to 2%, $P < 0.0001$). Life-threatening bleeding was noted in 15.6% (95% CI: 11.7% to 20.7%). Major vascular complications were noted in 11.9% (95% CI: 8.6% to 16.4%). Medtronic CoreValve use resulted in a higher rate of permanent pacemaker implantation when compared to the Edwards SAPIEN (28.9% vs. 4.9%, $P < 0.0001$). Composite endpoints of safety at 30 days were 32.7% (95% CI: 27.5% to 38.8%, $P < 0.0001$) and efficacy at 1 year 71.1% (95% CI: 65.6% to 76.0%, $P = 0.58$).

Although this analysis was a random sampling of patients undergoing TAVR in 2011, whose authors used the newly defined VARC criteria to categorize complications without strict 3rd party/unbiased adjudication, this initial meta-analysis still provides a better understanding of the degree to which complications can occur. Furthermore, this can be compared in detail with the PARTNER trial to better understand how real world patients perform compared to patients selected for clinical trials with strict exclusion criteria.

Stroke

The incidence of both major and minor stroke has been discussed extensively as it relates to TAVR given the relatively high rates of stroke peri- and post-procedure. In the PARTNER B trial, TAVR was randomized against standard therapy.¹³ The 30-day major stroke rate was 5.0% in the TAVR group vs. 1.1% in the standard therapy group ($P = 0.06$). The 1-year major stroke incidence was 7.8% in the TAVR group vs. 3.9% in the standard therapy group ($P < 0.18$). Given that standard therapy in the PARTNER B trial included balloon valvuloplasty (82.3%), it is possible that with medical therapy

alone (without balloon valvuloplasty), the stroke rate would have been even lower. In the PARTNER A study,¹⁶ stroke increased the hazard of death (hazard ratio, 2.47; 95% CI: 1.42 to 4.3, $P < 0.001$). Using VARC criteria for stroke shown in Table 3, in the 16 study meta-analysis,²¹ patients had an average STS of 8.7% and major stroke at 30 days was 3.2% versus the PARTNER B trial where patients had an average STS of 11.2%, and major stroke at 30 days was 5.0%. Similarly, in the PARTNER A trial, it was noted that the incidence of a stroke within 30 days was 3.8% for those in the TAVR arm vs. 2.1% among those in the SAVR arm. Interestingly, it was found that the overall number of strokes within 3 years in both arms did not differ significantly until the 30-month mark, where more strokes occurred in SAVR arm; the significance of this result is unknown (see Figure 5).¹⁷

The high incidence of stroke, in particular, may be prohibitive in making TAVR first line treatment when compared to SAVR, especially in low to moderate risk patients. Because the native calcific, degenerative aortic valve is not removed surgically, but rather compressed against the aortic annulus by the stent balloon and stent upon inflation, the compressed native valve material may not be stable. Possible emboli from ejection of this material likely increases stroke risk until it is stabilized. In addition, scraping of the aorta during the procedure may also dislodge a stroke-causing atheroma. Thus the increased risk of stroke may be prohibit the performance of a TAVR, and benefits and risks must be weighed cautiously. Stroke risk has been reviewed in depth and remains a concern.²² Imaging studies by transcranial Doppler during TAVR showed embolic events occurring during the procedure itself, including balloon valvuloplasty, catheter manipulation, and stent valve deployment.²³

**Table 3.** VARC criteria for stroke.

Rapid onset of focal/global deficit with one of the following: <ul style="list-style-type: none"> • Hemiplegia/hemiparesis • Therapeutic interventions performed • Numbness/sensory loss • Unilateral • Dysphasia/aphasia • Hemianopia • Amaurosis fugax • Other stroke • Signs/symptoms 	Duration of focal/global deficit ≥ 24 h; Only < 24 h if: <ul style="list-style-type: none"> • Neuroimaging shows new hemorrhage/infarct • Deficit results in death 	Confirmation by one of the following: <ul style="list-style-type: none"> • Neurology/neurosurgeon • Neuroimaging • Lumbar puncture
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Notes: Non-neurologic causes of stroke need to be ruled out prior to application of these criteria (eg, brain tumor, trauma, infection, hypoglycemia, peripheral lesion, pharmacologic agents).
Table adapted.²⁰

Diffusion weighted magnetic resonance imaging (DW-MRI) studies showed abnormalities in 68% to 84% of patients post TAVR, although these did not translate into clinical stroke most of the time.^{24–27} Risk factors for stroke in TAVR are not clear, but established risk factors are age and left ventricular dysfunction.²² Major stroke also increases the 1-year mortality rate significantly as seen in the PARTNER trial (66.7% with stroke vs. 27.7% without stroke, $P < 0.0001$).

Causes of stroke may include manipulation across the degenerated aortic valve, and atheroma along the aortic arch. To try to prevent this, distal protection devices have been proposed in the greater vessels, and recent studies using this technique have indicated some, but not complete, success.^{28,29} More data will be forthcoming about the feasibility

of these filters. In addition, in the future, standardized neurologic stroke scales (eg, National Institutes of Health Stroke Scale NIHSS/Rankin) will likely be used in conjunction with neurology specialist consultants to further ascertain stroke status in patients pre- and post-procedure until the incidence of stroke decreases.

Paravalvular leak

Paravalvular leak is a result of poor apposition of the stent valve with the aortic annulus. This is significantly higher in patients with TAVR vs. SAVR (6.8% vs. 1.9%, PARTNERS A)¹⁶ Criteria for assessment vary, but center on Doppler parameters of the jet³⁰ as well as on diastolic flow reversal in the descending aorta. The significance of paravalvular regurgitation on mortality is unclear. It seems that patients with even mild paravalvular regurgitation may have a higher mortality rate.¹⁷ Further analysis suggests positioning of the CoreValve is a key factor in determining post-procedure paravalvular aortic regurgitation (see Figure 6), as well as annulus size to prosthetic ratio.³¹ Progression from moderate to severe paravalvular regurgitation does not occur often.^{17,32} Given this data, it seems clear that full apposition, positioning, and correct sizing of the stent valve are important to prevent paravalvular leak. To this end, different imaging methods for accurately sizing the aortic annulus are being investigated, including both CT and MRI.^{33,34}

Vascular complications

Vascular complications occurred in 32.4% of patients with TAVR in the PARTNER B trial, with major

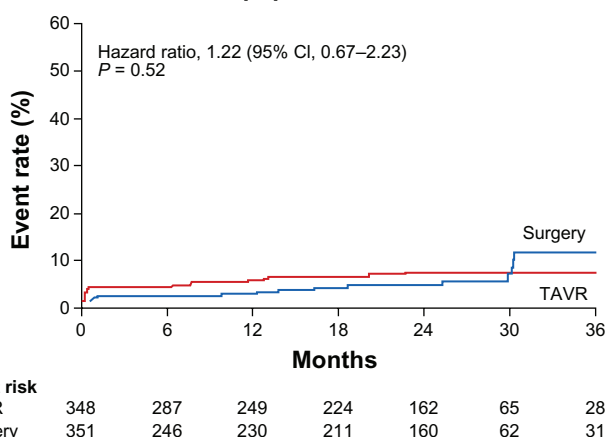
Stroke, intention-to-treat population

Figure 5. Time-to-event curve for stroke.
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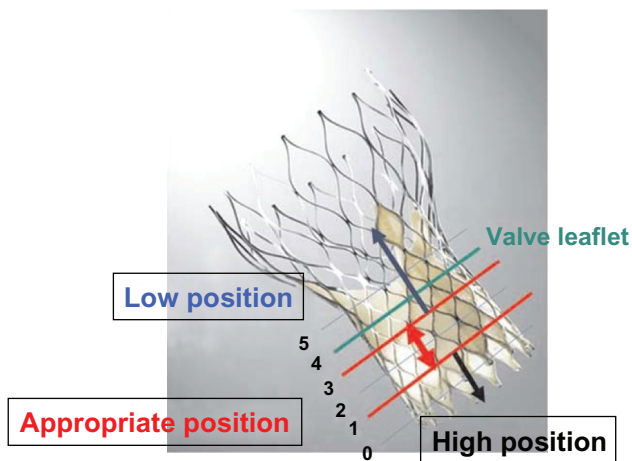


Figure 6. Position for deployment is an important factor in determining paravalvular regurgitation with the Medtronic CoreValve. Reprinted with permission.³¹

vascular complications found in 16.8% of patients at one year.¹³ In the PARTNER A trial, vascular complications occurred in 18% of patients with TAVR, with 11.3% patients experiencing major complications at one year.¹⁶ Vascular complications in the PARTNER A trial were a predictor of mortality (hazard ratio 1.71; 95% CI: 1.07 to 2.73, $P = 0.02$). A VARC meta-analysis of 16 recent studies showed a pooled vascular complication rate of 18.8% in patients with TAVR, and 11.9% of patients experienced major cardiovascular events,²¹ with the criteria shown in Table 4. When assessing data from the UK TAVI Registry using the VARC criteria, it was found that major complications occurred in 6.3% of patients (55/869 patients); specifically, rates for major complications were 6.2% among patients with the CoreValve (28/451 patients) and 6.3% among patients with the Edwards SAPIEN (26/410 patients).³⁵

As the valve prostheses become smaller, and more centers move to a complete percutaneous approach,

major vascular complications may decrease further. New technologies have been developed to help decrease the sheath size for the femoral artery in patients. The Edwards eSheath allows for transient sheath expansion during delivery of the stent valve. The sheath expands with the passage of the prosthesis and returns to its lower profile diameter after passage (eg, 20 F to 27 F, outer diameter).³⁶ In addition to the transapical approach used with the Edward SAPIEN, JenaValve, and Acurate TA valves, other approaches are also being explored, including subclavian and direct aortic insertion.

Bleeding

In the PARTNER B trial, major bleeding occurred in 22.3% of patients with TAVR at 1 year.¹³ In the PARTNER A trial, major bleeding occurred in 14.7% of patients with TAVR.¹⁶ Predictors of mortality in the PARTNER A trial included major bleeding (TAVR: hazard ratio, 2.11; 95% CI: 1.41 to 3.17, $P < 0.001$). VARC criteria further categorized bleeding into life-threatening bleeding, major bleeding, and minor bleeding (Table 5). In the recent VARC meta-analysis of 16 studies, life-threatening bleeding occurred in 15.6% (95% CI: 11.7% to 20.7%) of patients, and major bleeding occurred in 22.3% (95% CI: 17.8% to 28.3%) of patients.²¹

When comparing 30-day major bleeding in TAVR to 1-year major bleeding in the PARTNER A trial, bleeding increased from 9.3% to 14.7%. Given that this bleeding risk is post-procedural, antiplatelet and anticoagulation therapy may have to be examined in detail to determine the optimal length of time for treatment (ie, dual antiplatelet therapy) to prevent valve thrombosis, while minimizing the risk of bleeding.

Table 4. VARC definition of vascular complications.

Major	Minor
Thoracic aortic dissection	Failure of percutaneous access site closure resulting in intervention/surgical correction
Access site/related vascular injury leading to death, blood transfusion $\geq 4U$, surgical intervention, irreversible end-organ damage	Access site/related vascular injury requiring compression or thrombin injections therapy, or hematoma requiring transfusion of ≥ 2 but $< 4U$, not requiring unplanned intervention/surgery
Distal embolization (non-cerebral) requiring surgery or causing irreversible end-organ damage	Distal embolization treated with embolectomy and/or thrombectomy with no amputation or irreversible end-organ damage

Table adapted.²⁰

**Table 5.** VARC criteria for bleeding.

Life-threatening/disabling bleeding	Major bleeding	Minor bleeding
Fatal	No criteria for life-threatening/disabling	Any bleeding worthy of clinical mention, but not life-threatening or major
Hgb decrease ≥ 5 g/dL, or whole blood/pRBC transfusion ≥ 4 U Causing hypovolemic shock/severe hypotension requiring vasopressors/surgery Critical area/organ eg, Intracranial, intraspinal, intraocular, pericardial requiring pericardiocentesis, intramuscular with compartment syndrome	Hgb decrease ≥ 3 g/dL, or whole blood/pRBC transfusion 2–3U	

Notes: Either of the conditions can be satisfied in column one, "Life-threatening/disabling bleeding." Major bleeding, both criteria have to be fulfilled. Table adapted.²⁰

Endocarditis

Endocarditis is an important issue that needs to be addressed. Whereas bioprosthetic or mechanical infected valves may need to be removed and replaced, it is unclear what options TAVR patients will have if vegetations develop on their stent valve. A recent case report and review of the literature suggests that the mortality rate is 33% if a patient with TAVR develops endocarditis.³⁷ If surgery is indicated, the STS score will increase given the active infection. Thus, if the patient initially had TAVR because of high surgical risk, then surgery may still be refused. In a published case report,³⁷ a review of the literature showed that only one-third of patients with medically treated endocarditis survived. Furthermore, in the elderly, the onset may be insidious. Vigilance must be exercised to prevent endocarditis during the stent valve procedure, and when seeing the patient on follow up visits.

Antiplatelet/anticoagulation therapy

Unlike TAVR, guidelines for percutaneous coronary intervention (PCI) are well established, with the placement of bare metal stents requiring dual antiplatelet therapy (DAPT) for 1 month. For drug-eluting stents, US guidelines suggest that DAPT be used for 1 year, with aspirin (ASA) taken lifelong thereafter. Guidelines for stent valve antiplatelet and anticoagulation have yet to be created. The incidence of prosthetic valve thrombosis post procedure seems to be minimal, whereas life-threatening bleeding has been a significant risk and a predictor of mortality. In the PARTNER trials, DAPT was given for 6 months

post procedure; the range for most trials is between 3 to 6 months. In a small pilot study, Ussia et al compared the use of 100 mg of aspirin daily alone with 100 mg of aspirin and 75 mg of clopidogrel taken daily for 3 months post procedure.³⁸ Mortality and Major Adverse Cardiovascular and Cerebral Events (MACCE – in this paper inclusive of death MI, life-threatening bleed, major stroke, urgent/conversion to SAVR) were not significantly different at 30 days (ASA: 15% vs. DAPT: 13%, $P = 0.71$) and 6 months (ASA: 15% vs. DAPT: 18%, $P = 0.85$). Larger studies will need to be conducted to determine appropriate antiplatelet and anticoagulation therapies to minimize MACCE. If decreasing antiplatelet duration will lead to less MACCE, then patients requiring PCI pre-TAVR may need to have their DAPT regimen reassessed.

US consensus document on management

A recent expert consensus document has been published with expert recommended guidelines for TAVR mainly based on PARTNER data.³⁹ The summary table is shown below (Table 6).

Emerging Technologies: New Stent Valves

Multiple new stent valves are being developed as TAVR has gained approval in the United States and Europe. In addition to the Edwards SAPIEN and Medtronic CoreValve, several valves are in development. Even in 2008, first in-man results were published for the Sadra-Lotus Valve™ (Boston Scientific, MN, USA), Direct Flow™ Medical Valve (Direct Flow Medical Inc., CA, USA), the Paniagua Heart Valve

**Table 6.** Consensus guidelines to SAVR, TAVR, or standard therapy.

Treatment	Indication	Major complications
Surgical aortic valve replacement	<ul style="list-style-type: none"> • Symptomatic severe AS (Class I, LOE: B) • Severe AS undergoing CABG, aortic surgery or other valve surgery (Class I, LOE: C) • Symptomatic moderate AS undergoing CABG, aortic surgery or other valve surgery (Class IIa, LOE: C) • Asymptomatic severe AS with hypotensive response to exercise (Class IIb, LOE: C) • Asymptomatic extremely severe AS (AVA < 0.6 cm², mean gradient > 50 mm Hg, or jet velocity > 5 m/s) (Class IIb, LOE: C) 	<ul style="list-style-type: none"> • Mortality (3%) • Stroke (2%) • Prolonged ventilation (11%) • Thromboembolism and bleeding • Prosthetic dysfunction • Perioperative complications are higher when surgical AVR is combined with CABG
Transcatheter aortic valve replacement	<ul style="list-style-type: none"> • TAVR is recommended in patients with severe, symptomatic, calcific stenosis of a trileaflet aortic valve who have aortic and vascular anatomy suitable for TAVR and a predicted survival >12 months, and who have a prohibitive surgical risk as defined by an estimated 50% or greater risk of mortality or irreversible morbidity at 30 days or other factors such as frailty, prior radiation therapy, porcelain aorta, and severe hepatic or pulmonary disease. • TAVR is a reasonable alternative to surgical AVR in patients at high surgical risk (PARTNER Trial Criteria: STS ≥ 8%) 	<ul style="list-style-type: none"> • Mortality (3% to 5%) • Stroke (6% to 7%) • Access complications (17%) • Pacemaker insertion <ul style="list-style-type: none"> – 2% to 9% (Sapien) – 19% to 43% (CoreValve) • Bleeding • Prosthetic dysfunction • Paravalvular AR • Acute kidney injury • Other <ul style="list-style-type: none"> – Coronary occlusion – Valve embolization – Aortic rupture
Balloon aortic valvuloplasty	<ul style="list-style-type: none"> • Reasonable for palliation in adult patients with AS in whom surgical AVR cannot be performed because of serious comorbid conditions (Class IIb, LOE: C) • Bridge to surgical AVR (Class IIb, LOE: C) 	<ul style="list-style-type: none"> • Mortality • Stroke • Access complications • Restenosis
Medical therapy	<ul style="list-style-type: none"> • No specific therapy for asymptomatic AS • Medical therapy not indicated for symptomatic severe AS • Appropriate control of blood pressure and other risk factors as indicated • Statins not indicated for preventing progression of AS • Diuretics, vasodilators and positive inotropes should be avoided in patients awaiting surgery because of risk of destabilization 	<ul style="list-style-type: none"> • Hemodynamic instability

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(Colibri Heart Valve, LLC, CO, USA), in addition to human implants of the JenaValve (JenaValve Technologies GmbH, Munich, Germany) and the AorTx valve (Hansen Medical Inc, CA, USA).¹⁴

Many of the larger device companies have acquired different valve technologies, which are in various stages of development. The new valves try to improve the deliverability, positioning, sealing, and repositioning/removal when compared to prior Edward SAPIEN XT and Medtronic CoreValve,³⁶ as well as have the same or smaller caliber transfemoral catheter sizes of 18 Fr or less. Some valves currently being evaluated include the Lotus™ (Boston Scientific, MN, USA), Direct Flow™ (Direct Flow Medical Inc, CA, USA), CENTERA™ valve (Edward Lifesciences, Inc, CA USA), Portico™ (St Jude Medical, Inc, MN, USA), Acurate™ (Symetic, Ecublens, VD, Switzerland), Engager™ (Medtronic Inc, MN, USA), and the JenaValve (JenaValve Technologies GmbH, Munich, Germany).

Even with 50,000 stent valves deployed, both Edwards Lifesciences and Medtronic have multiple new and improved products in their pipeline. The Jena Valve is a second generation transapical stent valve currently being developed in Germany. It has a CE Mark of approval that was granted in late 2011. It has a porcine pericardial valve attached to a self-expanding nitinol stent that is placed from the transapical approach using a 32F sheathless catheter. The stent valve has three “feelers” that fit into the native sinuses. As with the Medtronic CoreValve, there is no need for rapid pacing prior to valve deployment. Safety of the valve was evaluated in a prospective, multicenter single arm study at seven German sites.⁴⁰ A total of 73 patients were enrolled. Valve sizes used were 23 mm, 25 mm, and 27 mm. Primary endpoint was 30-day all-cause mortality (7.6%). Procedural success was 89.6%. Crossover to SAVR was 6% (n = 4) and valve-in-valve was performed in 3% (n = 2). Stroke occurred in 3% of patients. Given the “feeler” technology of the JenaValve, some manipulation in the aortic root and the ascending aorta is required. Further data acquisition is needed to determine whether this may contribute to more strokes. It should be noted that this effect did not occur in this study clinically, although transcranial Doppler and DW-MRI imaging were not performed to look for emboli. Long-term composite efficacy endpoints will be forthcoming.

The first in-man study of the Acurate TA transapical valve was recently completed.⁴¹ Forty patients with STS score of $9.0\% \pm 4.7\%$ had TAVR with the Acurate system. By VARC criteria, device success was 92.5%, with a 30-day safety profile of 25%. Mean aortic gradient improved from 51 mmHg to 11.9 mm Hg at 6 months. Thus, in addition to the Edwards SAPIEN valve, both the JenaValve and the Acurate TA will provide more transapical options for TAVR.

A Possible Expanded Role for Balloon Aortic Valvuloplasty

Although the PARTNER B trial compared TAVR to standard therapy, standard therapy mainly consisted of balloon valvuloplasty. The rationale for this was that balloon valvuloplasty was not considered superior to medical therapy alone, and at most could only extend life a few more months. Furthermore, given the potential complications associated with standard therapy (including aortic regurgitation and stroke), balloon valvuloplasty is no longer used at many medical centers. However, it appears that balloon valvuloplasty may actually provide a bridge or a precious window of additional time for significantly symptomatic AS patients who are waiting to obtain TAVR but cannot yet receive it for various reasons.⁴² The risk of stroke in these patients is also not trivial. In the PARTNER B trial, the rate of stroke within 30 days for those treated with standard therapy was 1.1%, and the rate of stroke within 1 year was 3.9%. When taking into account the CMS criteria for TAVR, it should be noted that of the 30 structural heart procedures that need to be performed by the interventionalist each year, at least 60% of these procedures are required to be balloon valvuloplasty. As interventional cardiologists resurrect an old treatment that was once contraindicated to bridge critically ill patients for future TAVR or SAVR, the caveat and caution is that more strokes will probably be seen in patients with critical AS.

Relevant Topics that Need to be Addressed in the Future

Measurement of left ventricular end diastolic pressure (LVEDP) and aortic valve gradient

In the catheterization laboratory, the standard method for measuring aortic valve gradients is by crossing



the aortic valve and measuring pressures in both the left ventricle (LV) and the aorta. In the setting of a stent valve, it may be difficult and dangerous to cross the valve with a pigtail catheter. One option that has been used for mechanical prostheses⁴³ that may be used here is the pressure wire, which will avoid damaging the stent valve, yet transduce a high-fidelity pressure waveform to measure the LVEDP as well as the aortic valve gradient. One setup might be to attach the catheter to a transducer and measure that versus the pressure wire to determine the gradient. Pullback of the pressure wire could confirm the gradient.

CABG/SAVR vs. PCI/TAVR vs. hybrid

It is estimated that 75% of patients requiring aortic valve replacement (AVR) have coronary artery disease (CAD) (Serruys and PARTNER A).^{16,35} If coronary revascularization is needed along with AVR, that increases the STS morbidity and mortality score further.⁵ The approach to TAVR in a patient with CAD is still unclear. Two percutaneous strategies have evolved: (1) stage with PCI first, then TAVR; or (2) concomitant. In the setting of left main stenosis and chronic total occlusions, the best course of action is unclear since these patients will undoubtedly have moderate to high SYNTAX scores, suggesting better surgical rather than percutaneous long-term outcomes. It is possible, as has been stressed in recent statements, that a “Heart Team” approach will be more effective. Perhaps in one such scenario, a hybrid procedure involving grafting of the left internal mammary artery (LIMA) to the left anterior descending (LAD) artery by cardiothoracic surgery, PCI to the obtuse marginal artery (OM) and the right coronary artery (RCA) by interventional cardiology, and TAVR by the cardiothoracic and interventional teams together would be ideal. Certainly, endovascular aneurysm repair (EVAR) has been performed in conjunction with TAVR as well.

AVR risk score (TRS)—patient selection

A TRS has been proposed to determine if a patient is an appropriate candidate for TAVR.³⁶ Analogous to the coronary SYNTAX score, a TRS would help determine who should get TAVR, SAVR, or medical therapy. In Europe, TAVR is not recommended in patients with an expected lifespan of less than 1 year. The reason for this is likely economical as well as clinically driven.

Even with TAVR, the 1-year mortality rate is about 22.5% to 26%. The 2-year mortality rate is even higher (35% in the PARTNER A study;¹⁶ 30% noted in the CoreValve Italian Registry;³² and 26.3% noted in the UK TAVI Registry³⁵). Therefore, even though the stent valve does save lives, a large percentage of patients will still die within 2 years. Although it is clear that the device has saved a good percentage of the 50,000 patients implanted with it, a high percentage (35%, PARTNER A) will die within two years. Unlike PCI where the mortality rate is 0.1%, the TAVR mortality rate is difficult to discuss with a patient and his or her family. Having a TRS would help stratify the patients according to risk so that the ones that are ideal candidates are appropriately screened based on age, aortic valve annulus anatomy, vascular health, STS score/EuroScore, and other comorbidities.

The most eye opening statistic of the TAVR/SAVR trials is perhaps the mortality rate associated with SAVR. As seen in Figure 1, after treatment with SAVR, the implication is that patients do well for a long period of time as their lifespan is extended considerably. However, this is likely only true of a certain percentage of patients with few comorbidities. In a retrospective, single center study evaluating 1061 patients with SAVR, the 10-year life expectancy based on age for 70–74 year-olds was 54%; for 75–79 year-olds was 43%; and for 80 years old and greater was 17%.⁴⁴ In the PARTNER A trial, death at 2 years in SAVR was 35%. Extrapolating to 3 years, the mortality rate appears to be approximately 45%–50% for patients with TAVR and for patients with SAVR. Therefore, although the lifespan of high-risk patients is extended, is not necessarily extended indefinitely after either SAVR or TAVR.

SAVR vs. TAVR

In the United States, current outcomes for cardiothoracic surgeons are closely scrutinized and available to the public; for instance, the California CABG Outcomes Reporting (CCORP) is mandatory because of US Senate bill 680.⁴⁵ Surgeons also voluntarily report their data to the STS. The rating for complicated operations with high operative mortality rates (ie, a high STS score) may not be weighted substantially differently (eg, compassionate surgery) in calculating a particular surgeon’s operative mortality. Therefore, any peri- or post-surgical deaths



count against the surgeon and go towards their annual mortality count. Thus, surgeons may be penalized for taking difficult cases with likely poor outcomes. Each surgeon is allowed to refuse to operate independently of an absolute STS score. Yet there are still surgeons out there who do not refuse any aortic valve surgery, but these cases are rare and these surgeons are likely not subject to current outcomes scrutiny.

PARTNER B trial showed that TAVR was better than standard therapy for a patient if cardiothoracic surgeons refused to operate due to high peri- and post-operative mortality. However, it is difficult to state how much better TAVR was compared to standard therapy. Two-year follow up suggests mortality is still high at 43.3% of those receiving TAVR vs. 68% for standard therapy. Furthermore, there was an increased risk of stroke with TAVR (13.8%) vs. standard therapy (5.5%). Importantly, TAVR did not show that it was superior to surgery. Surgeons refused to perform the operation given the high operative and post-operative mortality. An important question to ask is that since mortality rates are going to be 30.7% at 1 year and 43.3% at 2 years (even with TAVR), would most surgeons still operate? Chances are that surgeons would most likely still operate, since surgical outcomes (had there been a surgery arm in the PARTNER B cohort study) would have been similar to those noted with TAVR. Therefore, an even better study would be to compare patients at high risk for TAVR vs. patients receiving SAVR in inoperable aortic stenosis. Certainly extrapolating from the data in the PARTNER A study (and in most of the other studies reviewed here) comparing TAVR to SAVR suggest that surgical outcomes would be similar.

Currently, interventional cardiologists are not scrutinized closely because the operative and post-operative mortality of PCI is very low. However, with the advent of TAVR, closer scrutiny of mortality rates of interventional cardiologists with the Heart Team is inevitable. Ultimately, interventional cardiologists may also begin to refuse cases. From a physician-patient perspective, it is certainly difficult to tell a patient and their family that there is a 50% chance of survival after 2 years, even with TAVR.

Longevity

In the excitement of pushing forward with TAVR, one key question remains: how long will these valves

last? SAVR porcine valve bioprostheses degrade over time, and the current estimate is that 50%–60% of them will not last more than 10 years.⁴⁶ It is unlikely that the Edward SAPIEN or the Medtronic CoreValve leaflets will outperform current bioprosthetic valves used for SAVR. If TAVR is used on low to moderate risk patients between the ages of 60 and 70 years old, then what happens when the valve degenerates significantly in another 10 years? Although over 100 successful valve-in-valve (mostly TAVR in SAVR) procedures have been reported,⁴⁷ will a valve-in-valve (TAVR in TAVR) even be feasible, or would it be dangerous to the patient given that it could create another comorbidity? Perhaps these cases will require a SAVR from a TAVR, although explanting a TAVR 10 years post-procedure may be an extremely difficult surgical procedure, and it may be associated with a high mortality rate.

Bioprosthetic vs. mechanical

In the United States, current real world decisions for most patients with severe aortic stenosis do not revolve around TAVR, but rather SAVR. SAVR is still the gold standard for aortic valve replacement. The most important question for current SAVR use is whether the patient will receive a bioprosthetic (eg, porcine) or a mechanical (eg, Carbomedics ATS) valve. In the past, mechanical valves were always thought to be superior to bioprosthetic valves; now that TAVR is a possible rescue option (valve in valve, TAVR in SAVR) for bioprosthetic valves, guidelines are no longer clear. Prior placement of a mechanical valve would likely be an absolute contraindication for a patient being assessed for a TAVR.

Future

In this era of both SAVR and TAVR, one thing is clear: the treatment for aortic stenosis has changed. Cardiologists now have more options for their patients. The prevalence of the disease is highlighted by the sheer volume of patients that have had TAVR since 2002, despite regulatory hurdles. Outcomes associated with the implantation of either device appear to be equivalent to surgery, although mortality and major complications including stroke, vascular injury, bleeding, and paravalvular leak will need to be further reduced. The VARC criteria provide a good standardized framework by which to categorize these



complications among a wide range of operators. New and next generation TAVR bioprostheses are being developed that may eventually make TAVR first line treatment. A TAVR risk score may help determine which patients will derive the most benefit from TAVR given the potential complications associated with the procedure. Future issues will need to be addressed as stated above, including assessing the efficacy of hybrid procedures such as CABG with TAVR, and determining whether an ideal patient with few comorbidities (but with critical AS) should receive a SAVR with mechanical prosthesis versus bioprosthesis in the event that the patient could benefit from a TAVR after his surgical valve deteriorates.

Author Contributions

Analysed the data: PPH. Wrote the first draft of the manuscript: PPH. Contributed to the writing of the manuscript: PPH. Agree with manuscript results and conclusions: PPH. Made critical revisions and approved final version: PPH. The author reviewed and approved of the final manuscript.

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